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ТАНГУТЫ в Центральной Азии

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в честь 80-летия
профессора Е.И.Кычанова*

S.-Ch. Raschmann

The Personal Name *Tanqut* as Seen from the Old Uighur Texts

Among the entries within the long list of categories for the name giving among the Turkish peoples, compiled by L. Rásónyi, there is one with the headline “III/8 Enemy (people, country, sovereign) defeated at the time of birth.”¹ In a broad scope he deals with this subject in another article under the headline “III. Noms de peuple > noms de personnes”:

“Les noms de personnes dérivés des noms de peuple constituent, eux aussi, une catégorie importante des noms de personnes. C'est un phénomène universel que de voir les personnes isolées dans un milieu étranger désignées par un surnom qui n'est autre que le vocable rappelant le peuple ou tribu dont elles sont issues. On peut accoler à quelqu'un comme surnom, le nom d'un certain peuple avec lequel il a été en contact, ne fut-ce que d'une façon épisodique mais suffisante pour qu'il en ait été marqué.”²

Therefore it is no coincidence that we find the names of different peoples among the personal names or as an element in the personal names of the Old Uighurs.³ The main sources for the Old Uighur onomasticon are the Old Uighurs' manuscripts and block prints which were excavated in the Turfan oasis and neighbouring sites in East Turkestan (Xinjiang) as well as in Dunhuang and which are preserved today in the Central Asian archives worldwide. In our context the colophons of a big number



¹ Rásónyi 1976, p. 216.

² Rásónyi 1953, p. 337.

³ In his article “Hybrid Names as a Special Device of Central Asian Naming,” P. Zieme stated with regard to this subject: “From the time when Turkic people started to use more than one element in the personal names, they also began adopting foreign names/words according to their cultural background. These data provide important clues for some aspects of their cultural history.” Cf. Zieme 2006, p. 114.

of Buddhist texts and civil documents are of special interest because these groups of written sources deliver the largest amount of material on personal names. Besides the names of the translator, the sponsor and the scribe, normally a long list of persons is included in the text of the colophon, denoting those people to whom the *punya* of copying the text has to be transferred. It is obvious that official and private documents, i.e. contracts, deeds, receipts, registers, letters etc. provide a large amount of personal names. Besides, stake inscriptions, inscriptions on wall painting from the several Buddhist temples and grottoes as well as graffiti enrich the Uighur onomastic material.

The following list is far away of being complete, but will throw light on what is to be found in the Old Uighur onomasticon with regard to the topic of people's names as personal names or as an element of personal names. For the attested personal names in question only selected samples from the written sources are given here.

Basmil

Basmil (U 5241: vendee of land)⁴

Totok Basmil (U 2890 + U 2916: donor of a copy of the *Kṣanti kilguluk nom bitig*)⁵

Indu⁶

Indu(?) (Ot. Ry. 2733 party to a loan contract)⁷

Indu (U 5330: name of a peasant in a document)⁸

Indu (MIK III 4633a: blockprint, Sino-Uighur family portrait <印都 yin du>)⁹

Käräy¹⁰

Käräy (U 5239: witness in a contract)¹¹

Käräy (Ch/U 7325 v: party in a contract)¹²

Käräy (U 6190: *kagunči* Käräy, mentioned in a list)¹³

Kipčak

Kipčak (USp 57: witness in a sale contract)¹⁴

Kipčak (U 5259: witness in a loan contract)¹⁵

Kipčak (U 5245: member of *el bodun*)¹⁶

⁴ SUK II, pp. 10–11, 246 (Sa04).

⁵ BT XXV, pp. 8, 66–67, 344.

⁶ Rybatzki 2006, p. 131.

⁷ SUK II, p. 87–88 (Lo03).

⁸ Matsui 2004b, pp. 199, 197.

⁹ Gabain 1976, p. 204.

¹⁰ Rybatzki 2006, p. 571.

¹¹ SUK II, pp. 174–175 (Mi28).

¹² VOHD 13,21 # 83.

¹³ VOHD 13,21 # 236.

¹⁴ SUK II, pp. 55–56 (Sa26).

¹⁵ SUK II, pp. 110–111 (Lo28).

¹⁶ SUK II, pp. 165–166.

Kirgiz

Kirgiz T(ä)jirim (U 3276 r: donor of a copy of the *kṣanti kilguluk nom bitig*)¹⁷

Kitan

Kitan [] (?) (U 3721 v: colophon of the *Maitrisimit*)¹⁸

Kitan (U 5245: member of *el bodun*)¹⁹

Kitay

Kitay Bört Inal (SI Kr.II 32/1: colophon)²⁰

Kitay [Tä]ŋri[m] (U 2477 + U 2505: colophon)²¹

Kitay Tut[un] (SI O 046 r: colophon?)²²

Kitay Daruga (U 5283 v: party in an administrative order)²³

Kitay Daruga (Ch/U 7370 v: party in an administrative order)²⁴

Mačar

Mačar Elči (MIK III 6972: party in an administrative order)²⁵

Mačar (Ch/U 7411 v: document)²⁶

Märkid²⁷

Märkid (U 5238: witness in a sale contract)²⁸

Misir²⁹

Misir (Ch/U 7344 v: party in a *ulag* document)³⁰

Misir (U 5245: witness in a document)³¹

Misir Kay-a (Mainz 20: donor of a copy of the *altun yaruk sudur*)

Misir Šabi Ky-a (Ch/U 3910a v: reader of a copy of the *čoagaam*)³²

Monjol³³

Monjol Buka (U 5242: scribe in a document)³⁴

Sart³⁵

Sart (U 5582: party in a register)³⁶

Sart Kuba (So 14865 v: owner of measuring instrument in a (loan?) document)

¹⁷ UigOn II, p. 93; BT XXV, pp. 8, 182–183, 375.

¹⁸ BT XXVI, p. 193.

¹⁹ SUK II, pp. 165–167 (Mi20).

²⁰ BT XXVI, pp. 268–269.

²¹ UigOn III, p. 280; BT XXVI, pp. 258–259.

²² Matsui 2003, pp. 58–59 (text A); VOHD 13,21 # 9.

²³ Matsui 2003, pp. 60–61 (text B); VOHD 13,21 # 6.

²⁴ Matsui 2003, p. 64 (text F).

²⁵ Matsui 2003, p. 64 (note F2a).

²⁶ Clark 1975, p. 141.

²⁷ SUK II, pp. 23–24 (Sa10).

²⁸ Clark 1975, p. 170; UigOn I, p. 77; UigLeih 225.

²⁹ VOHD 13,22 # 425.

³⁰ SUK II, pp. 165–167 (Mi20).

³¹ ĀgFrag 272.

³² Rybatzki 2006, pp. 606–607.

³³ SUK II, pp. 147–148 (Mi02).

³⁴ Zieme 2005; Rybatzki 2006, pp. 718–719.

³⁵ VOHD 13,22 # 435.

³⁶ Raschmann 2010, pp. 109, 111.

Tavgač

T(a)vagač Hatun (U 5582: party in a register)³⁷

Tavgač Y(a)ña (or: Yäkä) (U 5243: party of a testament)³⁸

Türk³⁹

Türk Buka (U 5236: witness in a sale contract)⁴⁰

It was the honoured jubilee himself who studied the relationship of the Tanguts with the Old Uighurs in detail.⁴¹ Concerning the impact of this relationship on the lexicon he wrote:

“Für jene Zeit waren die tangutisch-uigurischen Kriege ein wichtiges Ereignis, sie fanden ihre Widerspiegelung selbst im Wörterbuch von Maḥmūd al-Kāšgarī.”⁴²

Therefore it is not surprising that the ethnic name *tajut* found its way into the Old Uighur personal names, too. Probably the occurrence of the personal name Tajut may give a further hint for an approximately dating of the texts, if we recall the above mentioned category: “Enemy (people, country, sovereign) defeated at the time of birth.”

In the following I would like to list some records of *tajut* as a personal name in more detail.

In the document So 14865 of the Berlin Turfan collection a person, Tajut by name, is among those who had to return five *tay* of cotton:

So 14865/v/2/-3/ sart kuba-nuj t(a)ñi üzä beş t(a)ñ käpäz *tajut* kao bugra lig kavšurmiš birlä köni berir-biz

(ll. 2-3) We, i.e. Tajut, Kao, Bugra, Lig and Kavšurmiš, will correctly give (back?) 5 *tay* cotton, measured with the *tay* of Sart Kuba.⁴³

In the land sale contract⁴⁴ with the old shelf number 3Kr. 39, preserved in the manuscript archive of the Institute of Oriental Manuscripts (RAS), St. Petersburg, a person, Tajut by name, is mentioned as an owner of a certain plot of land:

3Kr. 39/v/17/-20/ bu yerniň siçisi öydüin yığa[k] burhan kulinuň örtgüni kündin yığak ulug yol . kedin yığak *tajutnuj* yer tagtin yığak buyančuknuj örtgüni adırar .

(ll. 17-20) The borderlines of this plot of land are: in eastern direction it is limited to the haystack of Burhan Kuli, in southern direction to the Great Way, in western direction to the plot of land of Tajut, and in northern direction to the haystack of Buyančuk.

³⁷ VOHD 13,22 # 435.

³⁸ UigOn I, 83; SUK II, pp. 134–135 (WP01).

³⁹ Rybatzki 2006, pp. 429–430.

⁴⁰ SUK II, pp. 34–35 (Sa15).

⁴¹ Kychanov 2004.

⁴² Kychanov 2004, p. 155.

⁴³ Raschmann 2010, pp. 108–113.

⁴⁴ SUK II, pp. 14–15 (Sa06), pp. 285–286; SUK III, pl. 11.

In a document concerning a slave, first published by Feng Jiasheng in 19 a person, Tajut Buka by name, is mentioned as a witness of the fixed contract.

/r/9/ *tanuk tajut buka*

(l. 9) The witness (is) Tajut Buka.

A person, Tañuta⁴⁶ by name, is mentioned in another document, which is preserved in the archive of the Institute of Oriental Manuscripts in St. Peters. This document with the old shelf number 4bKr 71⁴⁷ consists of different sec and is related to the sale of a person and the loan of silver.

4bKr 71/v/1/-3/ maya kaçukka yuñ[!]aklıg kümüş k(ä)rgäk bolup ṭaňı {pusardu}ka bir yastuk beş s(i)tir kümüş saňı

(ll. 1-3) I, Kaçuk, being in need of silver for consumption, have sold Tañu Pusardu for (an amount of) 1 yastuk and 5 s(i)tir of silver.

4bKr 71/v/5/ munta tañuña baş bitigin kılayın tep tedim ärdi bolmadı

(l. 5) It was not (the case) that I said: I will fixe the main contract of Tajuta

4bKr 71/v/6/ bars yıl altınč ay tört yanğıka maya tañuña šälükä asıq {kür k(ä)rgäk bolup {sävinč} k(a)y-a-ta üç otuz s(i)tir kümüş altum

(l. 6) The year of the tiger, the sixth month, on the fourth day: I, Tañuta Šäli, t in need of silver for interest, have borrowed 23 s(i)tir silver from Sävinč Kaya

The Old Uighur fragment Tōkyō A06, published only recently by P. Zier belongs to the final part of the Mārcīdhāraṇī, an early Tantric text. Several pers to whom the merit earned by copying the text is transferred, are mentioned. Or them has the name Tajut.

Tōkyō A06/r/50/ [täŋri]m tañutka

(l. 50) ... Täŋri]m, to Tajut [...

In the unpublished fragment from the St. Petersburg collection with the old s number SI O 046 an assembly of persons is listed.⁴⁹ The names of all those per are ending in *tutuŋ*. Tutuŋ is a wide spread element of Old Uighur personal na (*tutuŋ* < chin. 都統 *dutong*⁵⁰). Among those persons there is one, Saŋgasti T. Tutuŋ by name. It seems that the list of persons is a part of the colophon and the *punya* of copying the text is (among others?) transferred to this group of Tut

⁴⁵ Here quoted from SUK II, pp. 173–174 (Mi27); SUK III, pl. 151–152.

⁴⁶ For the formative +A for personal names cf. UW 35 and OTWF 56–57.

⁴⁷ Matsui 2004a, pp. 49–53 [No. 4].

⁴⁸ BT XXIII, pp. 113–114.

⁴⁹ I owe this information to P. Zieme.

⁵⁰ Cf. Hamilton 1984, Oda 1987, Zieme Titres 136ff.



MIK III 4633a. detail
By courtesy of the
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Art, Berlin-Dahlem

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⁵¹ Personal communication in Berlin, 09/09/2011. I am very grateful to him for this information.

⁵² Haneda and Yamada 1961, p. 205 (no. 12).

⁵³ Cf. Haneda and Yamada 1961, pl. 22. An edition of all preserved Old Uyghur letters is in preparation by Moriyasu Takao.

⁵⁴ Gabain 1976, p. 204 + fig. 1.

⁵⁵ Franke 1978, p. 33.

⁵⁶ The missing of the last syllable for Tajut, normally attested as 唐古特 or 唐古忒 in Chinese (cf. Ricci 2001, p. 825), may be caused by the intention to reduce the personal name to four characters only.

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B.M. Рыбаков

Четырехчастная система законов династии Тан

Общие замечания

Писаное право в Китае возникло в особых условиях, что обусловил его собственную специфику. Первые попытки введения рационально сконструированных законов, призванных сменить и, по возможно сделать неактуальным обычное право, относятся к периоду долгого исторического кризиса, политической борьбы в княжествах и между княжествами которые распалось древнее китайское государство в последние века династии Чжоу (VIII–III вв. до н.э.). Борьба идеологий и политических практик, одна из составляющих которой явилась борьба за введение и применение искусственно создаваемых законов, наложила свой отпечаток и на концептуальные основы права, и на круг задач, решение которых было за правом закреплено, — и наложила навсегда.

В отличие от многих древних обществ, законы в Китае никогда не создались как нечто священное и непререкаемое, как благой дар богов, как идея сверхценность. Напротив, господствующая теория поначалу относила к продукту творчества некитайских, «варварских» народов, не ведавших религии и стыда, а потому вынужденных, чтобы хоть как-то наладить общество, прибегать к постоянному насилию посредством законодательно наложенных запретов. Д. Бодде и К. Моррис отмечают, что, по всей видимости, добная концепция возникла в V–VI вв. до н.э., когда идея введения законодательства вызывала почти всеобщее неприятие и резко порицалась традиционистами¹, каковых в ту пору было, разумеется, большинство. Впоследствии идея писаного закона постепенно доказала свою практическую ценность для государства, для поддержания в нем порядка и социального, пусть не права, но хотя бы отсутствия внутренней войны, отношение к этой идее вынужденным образом несколько изменилось: законотворчество было приспособлено к новым реалиям.

¹ Bodde, Morris, 1967, p. 13.